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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KAWASE, Kinya, et al.**

Attention: **Applications Division**

Serial Number: **10/541,308**

Group Art Unit: **3746**

Filed: **July 5, 2005**

**P.T.O. Confirmation No.: 8134**

For: **IRON-BASED SINTERED ALLOY, IRON-BASED SINTERED ALLOY MEMBER, METHOD OF MANUFACTURING THE SAME, AND OIL PUMP ROTOR**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: May 17, 2006

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the **title is incorrect and should read as - IRON-BASED SINTERED ALLOY, IRON-BASED SINTERED ALLOY MEMBER, METHOD OF MANUFACTURING THE SAME, AND OIL PUMP ROTOR- .** A copy of the **Declaration** is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,

HANSON & BROOKS, LLP

James E. Armstrong, IV

Attorney for Applicants

Reg. No. 42,266

JAM/bjb

Atty. Docket No. **050390**

Suite 1000

1725 K Street, N.W.

Washington, D.C. 20006

(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt and Declaration



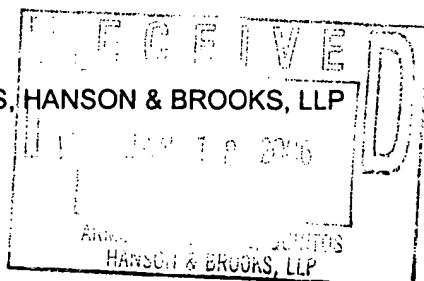
## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/541,308	07/05/2005	3746	2100	050390	1	19	9

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ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
1725 K STREET, NW  
SUITE 1000  
WASHINGTON, DC 20006



CONFIRMATION NO. 8134

## FILING RECEIPT



\*OC000000017817292\*

Date Mailed: 01/12/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kinya Kawase, Niigata-shi, JAPAN;  
Yoshinari Ishii, Niigata-shi, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number 23850.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/13379 10/20/2003

## Foreign Applications

JAPAN 2003-1662 01/08/2003

**Projected Publication Date:** 04/20/2006

**Non-Publication Request:** No

**Early Publication Request:** No

Title

**IRON-BASED SINTERED ALLOY, IRON-BASED SINTERED ALLOY MEMBER,  
METHOD OF MANUFACTURING THE SAME, AND OIL PUMP ROTOR**

~~Iron based sintered alloy, iron base sintered alloy member, method for production thereof, and oil pump rotor~~

1/19/06

Preliminary Class

417



## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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#### **GRANTED**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KAWASE, Kinya, et al.**

Group Art Unit: **3746**

Serial No.: **10/541,308**

Examiner: **Not Yet Assigned**

Filed: **July 5, 2005**

P.T.O. Confirmation No.: **8134**

For. **IRON-BASED SINTERED ALLOY, IRON-BASED SINTERED ALLOY MEMBER,  
METHOD OF MANUFACTURING THE SAME, AND OIL PUMP ROTOR**

**SUBMISSION OF SUBSTITUTE DECLARATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 2, 2005

Sir:

Submitted herewith for filing is a Substitute Declaration for the above-identified application. This Substitute Declaration is being submitted in order to correct the previously submitted incorrect title of the invention.

In the event any fees are due in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

**ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP**

Donald W. Hanson  
Attorney for Applicants  
Reg. No. 27,133

DWH/rmp  
Atty. Docket No. **050390**  
Suite 1000  
1725 K Street, N.W.  
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(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosures: **Substitute Declaration**

Docket No. 050390



ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP

## Declaration for U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

**IRON-BASED SINTERED ALLOY, IRON-BASED SINTERED ALLOY MEMBER, METHOD OF MANUFACTURING THE SAME, AND OIL PUMP ROTOR**

the specification of which is attached hereto unless the following is checked



was filed on July 5, 2005 as United States Application Number 10/541,308 or was filed on October 20, 2003 as PCT International Application Number PCT/JP03/13379 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed:

(List prior foreign applications. See note A on back of this page)	<u>2002-058314</u> (Number)	<u>Japan</u> (Country)	<u>05/March/2002</u> (Day/Month/Year Filed)	Priority Claimed ___ Yes <u>X</u> No
	<u>2002-114730</u> (Number)	<u>Japan</u> (Country)	<u>17/April/2002</u> (Day/Month/Year Filed)	___ Yes <u>X</u> No
	<u>2002-160943</u> (Number)	<u>Japan</u> (Country)	<u>03/June/2002</u> (Day/Month/Year Filed)	___ Yes <u>X</u> No
	<u>2003-001662</u> (Number)	<u>Japan</u> (Country)	<u>08/January/2003</u> (Day/Month/Year Filed)	<u>X</u> Yes ___ No

(See note B on back of this page)

\_\_\_ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(List Prior U.S. Applications)

(Appln. Serial No.)

(Filing Date)

(Status: Patented, Pending, Abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent :  
Trademark Office connected therewith:



23850

PATENT TRADEMARK OFFICE

Please direct all communications to the following address:



23850

PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Kinya KAWASE  
Inventor's signature Kinya Kawase Date 24/10/2005  
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